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October 31, 2003

Mr. Greg Stein Chairman The Apache Software Foundation 1901 Munsey Drive Forest Hill, MD 21050-2747

Mr. Dirk-Willem van Gulik President The Apache Software Foundation 1901 Munsey Drive Forest Hill, MD 21050-2747

Mr. Jim Jagielski Vice President, Apache Incubator The Apache Software Foundation 1901 Munsey Drive Forest Hill, MD 21050-2747

Dear Gentlemen,

This firm represents JBoss Group, LLC ("JBoss"), which is the provider of a leading J2EE-based application server, namely JBoss (the "JBoss program"). In addition to administering the development of the JBoss software project, JBoss Group offers development, support, and training services in connection with the JBoss program.

We understand that the Apache Software Foundation ("ASF") has for some time been developing a J2EE application server called Geronimo, which will offer functionality similar to that of the JBoss program. This letter follows Larry Rosen's email correspondence to you of July 24, 2003, addressing concerns JBoss had about certain portions of the Geronimo source code. As there are remaining concerns in this regard following Larry Rosen's e-mail, I am writing to provide additional information and to request your further response.

It has come to our attention that portions of the Geronimo program appear to be virtually identical or substantially similar to JBoss program source code. Attached as Exhibits A through C are reproductions of source code demonstrating such similarity. Additionally JBoss has provided us with the following information regarding each Exhibit:

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Exhibit A: the two files in this exhibit demonstrate on their face a very high degree of similarity between the two files. Additionally, JBoss believes that the similarity in the name and order of variables employed in these files suggest that the Geronimo file is derived from the JBoss file. Moreover, some of the comments contained in the Geronimo file are in part identical to comments in the JBoss file.

Exhibit B: the two files in this exhibit again show similarity or, in some portions, are identical to the exhibited JBoss file. JBoss believes that certain portions of the similar code, for example the usage of NDC logging, are highly JBoss specific and that their use is therefore evidence of copying JBoss source to develop the exhibited Geronimo file.

Exhibit C: as demonstrated by the file portions displayed in this exhibit, the Geronimo code appears to be nearly identical to the JBoss code.

Further, although not reproduced as an exhibit, we direct your attention to the similarities in the files named org.apache.geronimo.common.Invocation (Geronimo) and org.jboss.invocation.Invocation (JBoss). Both of these files contain what JBoss believes to be highly JBoss-specific payloads, which are named "AsIs," "Transient," and "Marshalled." JBoss believes that the use of such named payloads in the Geronimo file could only have occurred through copying from the JBoss source code. Further, JBoss believes that the Invocation file is central to the architecture of both JBoss and Geronimo. Therefore, any copying evident in this file is of great concern, because it could have impact throughout Geronimo.

We understand that the above-referenced examples do not constitute a complete list of the similarities between the code bases of JBoss and Geronimo. Rather, JBoss believes that they are merely illustrative of similarities that have been discovered to date with a non-exhaustive search. In addition to examples of what appears to be direct copying of JBoss source code in the Geronimo code base, JBoss also has observed a significant number of architectural similarities, similar naming conventions, and other indications that portions of Geronimo may constitute derivative works of JBoss source code.

As you may know, the JBoss program is licensed to users under the Lesser General Public License ("LGPL"). This license allows use of the JBoss source code only to the extent that certain obligations are met, including but not limited to the obligation to license derivative works under the LGPL. Thus, distribution under the Apache Software License of programs which are derived from or incorporate software under the LGPL

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would not be permissible and would constitute violation of the LGPL. As such, we are concerned that any Geronimo source code which derives or is copied from JBoss source code would constitute violation of the LGPL if distributed.

We understand that any JBoss source code copied into the Geronimo project may have occurred inadvertently and without the ASF's knowledge. If so, we request that measures be taken immediately to remedy any such examples of copying or in the alternative that other measures be taken to bring your use of JBoss code into compliance with the LGPL. We further ask that you take action to prevent any improper copying from occurring in the future.

I look forward to your reply in this matter. If you have any questions, please do not hesitate to contact me.

David J. Byer

Marc Fleury cc:

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#### Exhibit A: XLevel

```
JBOSS (org.jboss.logging.XLevel):
                                                            GERONIMO (org.apache.geronimo.core.log.XLevel):
The Apache Software License, Version 1.1
  JBoss: The OpenSource J2EE WebOS 3
                                                                 Distributable under LGPL license. *
     See terms of license at gnu.org.
                                                            package org.apache.geronimo.kernel.log;
                                                            import org.apache.log4j.Level;
package org.jboss.logging;
                                                                 Extention levels for Log4j
import org.apache.log4j.Level;
                                                                 @version $Revision: 1.1 $ $Date: 2003/09/08 04:38:34 $
     Provides custom extention levels for use with the Log4i
     logging framework.
     Adds a trace level that is below the standard Log4j
     <tt>DEBUG</tt> level.
     This is a custom level that is 100 below the {@link
     Level#DEBUG INT)
     and represents a lower level useful for logging events
     that should only
     be displayed when deep debugging is required.
     @see org.apache.log4j.Level
     @author <a href="mailto:Scott.Stark@jboss.org">Scott
     Stark</a>
     @version $Revision: 1.3.2.1 $
public class XLevel
 extends Level
                                                            public final class XLevel extends Level {
                                                              public static final int TRACE_INT = Level.DEBUG_INT - 1;
 /** The integer representation of the level, ({@link
                                                              private static String TRACE_NAME = "TRACE";
Level#DEBUG INT} - 100) */
 public static final int TRACE_INT = Level.DEBUG_INT -
 /** The string name of the trace level. */
 public static final String TRACE_STR = "TRACE";
                                                                   The Log4j Level Object to use for trace level
                                                                   messages
 /** The TRACE level object singleton */
 public static final XLevel TRACE = new
                                                              public static final XLevel TRACE = new XLevel(TRACE_INT,
XLevel(TRACE_INT, TRACE_STR, 7);
                                                            TRACE_NAME, 7);
       Construct a <tt>XLevel</tt>.
 protected XLevel(final int level, final String strLevel, final int
                                                              protected XLevel(int level, String name, int syslogEquiv) {
syslogEquiv)
                                                                super(level, name, syslogEquiv);
   super(level, strLevel, syslogEquiv);
```

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```
Factory Methods
                                                     #
  านการมานการทางการทางการทางการทำในการทางการนากการทางการ
       Convert an integer passed as argument to a level. If
       the conversion
       fails, then this method returns the specified default.
                                                                        Convert the String argument to a level. If the
                                                                        conversion
       @return the Level object for name if one exists,
       defaultLevel otherwize.
                                                                        fails then this method returns {@link #TRACE}.
 public static Level toLevel(final String name, final Level
                                                                  public static Level toLevel(String name) {
                                                                     return toLevel(name, XLevel.TRACE);
defaultLevel)
                                                                  }
   if (name == null)
     return defaultLevel;
                                                                        Convert the String argument to a level. If the
    String upper = name.toUpperCase();
    if (upper.equals(TRACE_STR)) {
                                                                        fails, return the level specified by the second
     return TRACE;
                                                                        argument.
                                                                        i.e. defaultValue.
   return Level.toLevel(name, defaultLevel);
                                                                  public static Level toLevel(String name, Level defaultValue) {
 }
                                                                     if (name == null) {
                                                                       return defaultValue;
       Convert an integer passed as argument to a level.
                                                                     if (name.toUpperCase().equals(TRACE_NAME)) {
                                                                       return XLevel.TRACE;
       @return the Level object for name if one exists
                                                                     return Level.toLevel(name, defaultValue);
 public static Level toLevel(final String name)
   return toLevel(name, TRACE);
       Convert an integer passed as argument to a priority.
       If the conversion
       fails, then this method returns the specified default.
       @return the Level object for 1 if one exists,
       defaultLevel otherwize.
 public static Level toLevel(int I)
   return toLevel(I, TRACE);
       Convert an integer passed as argument to a level. If
       the conversion
       fails, then this method returns the specified default.
       @return the Level object for I if one exists,
                                                                        Convert an integer passed as argument to a level. If
       defaultLevel otherwize.
                                                                        conversion fails, then this method returns (@link
 public static Level toLevel(final int I, final Level
                                                                        #DEBUG).
defaultLevel)
                                                                  public static Level toLevel(int level) throws
   Level p;
if (I == TRACE_INT)
                                                                IllegalArgumentException {
                                                                     if (level == TRACE_INT) {
     p = TRACE;
```

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#### **Exhibit B: PatternParser**

```
JBOSS (org.jboss.logging.layout.PatternParserEx):
                                                       GERONIMO
                                                      (org.apache.geronimo.core.log.PatternParser):
     JBoss, the OpenSource J2EE WebOS
                                                         The Apache Software License, Version 1.1
     Distributable under LGPL license.
                                                       */
     See terms of license at gnu.org.
package org.jboss.logging.layout;
import org.apache.log4j.helpers.PatternConverter;
                                                      package org.apache.geronimo.common.log.log4j;import
import org.apache.log4j.helpers.PatternParser;
                                                       org.apache.log4j.helpers.PatternConverter;
/** A subclass of the log4j PatternParser that add the
following conversion
                                                           A simple extension of the log4j pattern parser which
                                                           adds support for the
characters:
                                                           'a' letter for a NamedNDC.
 @version $Revision: 1.1 $ $Date: 2003/08/27
 Conversion Character
                                                            10:08:45 $
 Effect
 <b>z</b>
   Used to output current thread NDC value. This can
be used to obtain
   an NDC to augment any NDC associated with the
LoggingEvent. This might
   be necessary if the LoggingEvent has been serialized
between VMs.
  <b>Z</b>
   Used to output current thread MDC value. This can
be used to obtain
   an MDC to augment any MDC associated with the
LoggingEvent. This might
   be necessary if the LoggingEvent has been serialized
between VMs.
   The Z conversion character must be followed by the
key for the map placed
   between braces, as in %Z{theKey} where theKey is the
   The value in the MDC corresponding to the key will be
output.
  @author Scott.Stark@jboss.org
     @version $Revision: 1.1.4.1 $
public class PatternParserEx extends PatternParser
                                                      public class PatternParser
                                                        extends org.apache.log4j.helpers.PatternParser
 /** Creates a new instance of PatternParser */
 public PatternParserEx(String pattern)
                                                        public PatternParser(String pattern) {
   super(pattern);
                                                           super(pattern);
 }
```

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```
protected void finalizeConverter(char c)
                                                                protected void finalizeConverter(char c) {
                                                                   PatternConverter pc = null;
   PatternConverter pc = null;
                                                                   switch © {
   switch©
                                                                   case 'a':
                                                                     String key = extractOption();
     case 'z':
       pc = new ThreadNDCConverter(formattingInfo);
                                                                     pc = new NamedNDCConverter(formattingInfo,
       currentLiteral.setLength(0);
     break;
                                                                     currentLiteral.setLength(0);
     case 'Z':
                                                                     break;
       String key = extractOption();
                                                                   default:
       pc = new ThreadMDCConverter(formattingInfo,
                                                                     super.finalizeConverter©;
key);
                                                                     return;
       currentLiteral.setLength(0);
     break;
default:
                                                                   addConverter(pc);
       super.finalizeConverter©;
                                                              }
     return;
   addConverter(pc);
```

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#### Exhibit C: InvocationType

JBOSS (org.jboss.invocation.InvocationType):

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[\*\*\*]

public static final InvocationType REMOTE =
 new InvocationType("REMOTE", 0);
public static final InvocationType LOCAL =
 new InvocationType("LOCAL", 1);
public static final InvocationType HOME =
 new InvocationType("HOME", 2);
public static final InvocationType LOCALHOME

new InvocationType("LOCALHOME", 3);

[\*\*\*]

GERONIMO

(org.apache.geronimo.common.InvocationType):

[\*\*\*]

public static final InvocationType REMOTE =
new InvocationType("REMOTE", 0, false, false);
 public static final InvocationType HOME = new
InvocationType("HOME", 1, false, true);
 public static final InvocationType LOCAL = new
InvocationType("LOCAL", 2, true, false);
 public static final InvocationType LOCALHOME
= new InvocationType("LOCALHOME", 3, false, false);

[\*\*\*]