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Web Services Security X.509 Certificate Token Profile 1.1

Committee Draft – Tuesday 14, June 2005

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Web Service Security (WSS)

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Abstract:

This document describes how to use X.509 Certificates with the Web Services Security: SOAP Message Security specification [WS-Security] specification.

This is an interim draft.

Committee members should send comments on this specification to the wss@lists.oasisopen.org list. Others should subscribe to and send comments to the wsscomment@lists.oasis-open.org list. To subscribe, visit http://lists.oasisopen.org/ob/adm.pl.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the WS-Security TC web page (http://www.oasis-open.org/committees/wss/ipr.php).

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1 Introduction (Non-Normative) This specification describes the use of the X.509 authentication framework with the Web Services Security: SOAP Message Security specification [WS-Security]. An X.509 certificate specifies a binding between a public key and a set of attributes that includes (at least) a subject name, issuer name, serial number and validity interval. This binding may be subject to subsequent revocation advertised by mechanisms that include issuance of CRLs, OCSP tokens or mechanisms that are outside the X.509 framework, such as XKMS.

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An X.509 certificate may be used to validate a public key that may be used to authenticate a SOAP message or to identify the public key with SOAP message that has been encrypted.

Note that Sections 2.1, 2.2, all of 3, and indicated parts of 5 are normative. All other sections are non-normative.

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2 Notations and Terminology (Normative)

108 This section specifies the notations, namespaces and terminology used in this specification.

2.1 Notational Conventions

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", 110 "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be 111

112 interpreted as described in RFC 2119.

114 When describing abstract data models, this specification uses the notational convention used by 115 the XML Infoset. Specifically, abstract property names always appear in square brackets (e.g., 116 [some property]).

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When describing concrete XML schemas, this specification uses a convention where each member of an element's [children] or [attributes] property is described using an XPath-like notation (e.g., /x:MyHeader/x:SomeProperty/@value1). The use of {any} indicates the presence of an element wildcard (<xs:any/>). The use of @{any} indicates the presence of an attribute wildcard (<xs:anyAttribute/>).

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2.2 Namespaces

Namespace URIs (of the general form "some-URI") represents some application-dependent or context-dependent URI as defined in RFC 3986 JURI). This specification is designed to work with the general SOAP [SOAP11, SOAP12] message structure and message processing model, and should be applicable to any version of SOAP. The current SOAP 1.1 namespace URI is used herein to provide detailed examples, but there is no intention to limit the applicability of this specification to a single version of SOAP.

The namespaces used in this document are shown in the following table (note that for brevity, the examples use the prefixes listed below but do not include the URIs - those listed below are assumed).

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```
http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-
secext-1.0.xsd
      http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-
      http://docs.oasis-open.org/wss/2005/xx/oasis-2005xx-wss-
wssecurity-secext-1.1.xsd
```

The following namespace prefixes are used in this document:

Prefix Namespace

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Deleted:] URIs that MUST be used by implementations of this specification are as follows (note that elements used in this specification are defined in one or other of these namespaces): ¶

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secext-1.0.xsd

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Field Code Changed

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open.org/wss/2004/01/oasis-200401-wss-wssecurityutility-1.0.xsd

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S11	http://schemas.xmlsoap.org/soap/envelope/
S12	http://www.w3.org/2003/05/soap-envelope
ds	http://www.w3.org/2000/09/xmldsig#
xenc	http://www.w3.org/2001/04/xmlenc#
wsse	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd
wsse11	http://docs.oasis-open.org/wss/2005/xx/oasis-2005xx-wss-wssecurity-secext-1.1.xsd
wsu	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd

Deleted: http://www.docs.oasisopen.org/wss/2004/01/oasis-200401wss-wssecurity-secext-1.0.xsd

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Deleted: http://www.docs.oasisopen.org/wss/2004/01/oasis-200401wss-wssecurity-utility-1.0.xsd

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Table 1- Namespace prefixes

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<u>URI fragments defined in this specification are relative to the following base URI unless otherwise stated:</u>

147 148 149

http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0

150 151

The following table lists the full URI for each URI fragment referred to in this specification.

URI Fragment	<u>Full URI</u>
#Base64Binary	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap- message-security-1.0#Base64Binary
#STR-Transform	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap- message-security-1.0#STR-Transform
#PKCS7	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#PKCS7
#X509v3	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509v3
#X509PKIPathv1	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509PKIPathv1
#X509SubjectKeyIdentifier	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-profile-1.0#X509SubjectKeyIdentifier

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2.3 Terminology

This specification adopts the terminology defined in Web Services Security: SOAP Message Security specification [WS-Security].

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Readers are presumed to be familiar with the definitions of terms in the Internet Security Glossary [Glossary].

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3 Usage (Normative)

160 This specification describes the syntax and processing rules for the use of the X.509

authentication framework with the Web Services Security: SOAP Message Security specification 161

162 [WS-Security]. For the purposes of determining the order of preference of reference types, the 163

use of IssuerSerial within X509Data should be considered to be a form of Key Identifier

3.1 Token types

This profile defines the syntax of, and processing rules for, three types of binary security token using the URI values specified in Table 2.

If the ValueType attribute is missing, the receiver may interperet it either based on a prior agreement or by parsing the content.

Deleted: Table 2

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Token	ValueType URI	Description
Single certificate	#X509v3	An X.509 v3 signature-verification certificate
Single certificate	#x509v1	An X.509 v1 signature-verification certificate.
Certificate Path	#X509PKIPathv1	An ordered list of X.509 certificates packaged in a PKIPath
Set of certificates and CRLs	#PKCS7	A list of X.509 certificates and (optionally) CRLs packaged in a PKCS#7 wrapper

Table 2 - Token types

3.1.1 X509v3 Token Type

173 The type of the end-entity that is authenticated by a certificate used in this manner is a matter of policy that is outside the scope of this specification. 174

3.1.2 X509PKIPathv1 Token Type

176 The X509PKIPathv1 token type MAY be used to represent a certificate path. Deleted: #

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3.1.3 PKCS7 Token Type

The PKCS7 token type MAY be used to represent a certificate path. It is RECOMMENDED that 178 applications use the PKIPath object for this purpose instead.

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181 The order of the certificates in a PKCS#7 data structure is not significant. If an ordered certificate 182 path is converted to PKCS#7 encoded bytes and then converted back, the order of the certificates may not be preserved. Processors SHALL NOT assume any significance to the order 183 of the certificates in the data structure. See [PKCS7] for more information. 184 185 3.2 Token References 186 In order to ensure a consistent processing model across all the token types supported by WSS: 187 SOAP Message Security, the <wsse:SecurityTokenReference> element SHALL be used to specify all references to X.509 token types in signature or encryption elements that comply with 188 189 this profile. 190 191 A <wsse: SecurityTokenReference> element MAY reference an X.509 token type by one of the following means: 192 193 194 Reference to a Subject Key Identifier 195 The <wsse:SecurityTokenReference> element contains a 196 <wsse:KeyIdentifier> element that specifies the token data by means of a X.509 197 SubjectKeyIdentifier reference. A subject key identifier may only be used to reference an X.509v3 certificate." 198 199 200 Reference to a Binary Security Token 201 The <wsse:SecurityTokenReference> element contains a <wsse:Reference> 202 element that references a local <wsse:BinarySecurityToken> element or a remote 203 data source that contains the token data itself. 204 205 Reference to an Issuer and Serial Number The <wsse:SecurityTokenReference> element contains a <ds:X509Data> element 206 207 that contains a <ds:X509IssuerSerial> element that uniquely identifies an end 208 entity certificate by its X.509 Issuer and Serial Number. Deleted: a 3.2.1 Reference to an X.509 Subject Key Identifier 209 The <wsse:KeyIdentifier> element is used to specify a reference to an X 509v3 certificate 210 Deleted: 509

by means of a reference to its X.509 SubjectKeyldentifier attribute. This profile defines the syntax of, and processing rules for referencing a Subject Key Identifier using the URI values specified in Table 3 (note that URI fragments are relative to the URI for this specification).

Subject Key Identifier	ValueType URI	Description
Certificate Key Identifier	#YEOGCUPiogtVowIdentifier	Value of the certificate's X 500 SubjectKeyldentifier

Subject Key Identifier	ValueType URI	Description
Certificate Key Identifier	#X509SubjectKeyIdentifier	Value of the certificate's X.509 SubjectKeyIdentifier

Table 3 - Subject Key Identifier The <wsse:SecurityTokenReference> element from which the reference is made contains the <wsse:KeyIdentifier> element. The <wsse:KeyIdentifier> element MUST have a

ValueType attribute with the value #X509SubjectKeyIdentifier and its contents MUST be the value of the certificate's X.509v3 SubjectKeyldentifier extension, encoded as per the

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- The <p
- The URI reference MAY be internal in which case the URI reference SHOULD be a bare name XPointer reference to a
 XPointer

3.2.3 Reference to an Issuer and Serial Number

The <ds:X509IssuerSerial> element is used to specify a reference to an X.509 security token by means of the certificate issuer name and serial number.

The <ds:X509IssuerSerial> element is a direct child of the <ds:X509Data> element that is in turn a direct child of the <wsse:SecurityTokenReference> element in which the reference is made.

3.2.4 Thumbprint References

The <wsse:KeyIdentifier> element is used to specify a reference to an X.509 certificate by means of a reference to its X.509 Thumbprint attribute. This profile defines the syntax of, and the processing rules for referencing a Thumbprint using the URI values specified below (note that the URI fragment is relative to http://docs.oasis-open.org/wss/2005/xx/oasis-2005xx-wss-soap-message-security-1.1):

Subject Key Identifier	ValueType URI	<u>Description</u>
Thumbprint	#X509ThumbprintSHA1	The thumbprint of the X.509 certificate

The <wsse:SecurityTokenReference> element from which the reference is made contains a <wsse:KeyIdentifier> element. The <wsse:KeyIdentifier> element MUST have a ValueType attribute with the value or http://docs.oasis-open.org/wss/2005/xx/oasis-2005xx-wss-soap-message-security-1.1#ThumbprintSHA1 and its contents MUST be the thumbprint for the desired certificate. If the certificate does not contain a X.509 Thumbprint extension, then one is computed as the SHA1 of the raw octets which would be encoded within the <wsse:BinarySecurityToken> element were it to be included. The thumbprint is encoded as per the <wsse:KeyIdentifier> element's EncodingType attribute. The default encoding is Base64. Implementations compliant with this specification MAY support such a certificate reference mechanism.

3.3 Signature

Signed data MAY specify the certificate associated with the signature using any of the X.509 security token types and references defined in this specification.

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An X.509 certificate specifies a binding between a public key and a set of attributes that includes (at least) a subject name, issuer name, serial number and validity interval. Other attributes may specify constraints on the use of the certificate or affect the recourse that may be open to a relying party that depends on the certificate. A given public key may be specified in more than one X.509 certificate; consequently a given public key may be bound to two or more distinct sets of attributes.

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It is therefore necessary to ensure that a signature created under an X.509 certificate token uniquely and irrefutably specifies the certificate under which the signature was created.

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Implementations SHOULD protect against a certificate substitution attack by including either the certificate itself or an immutable and unambiguous reference to the certificate within the scope of the signature according to the method used to reference the certificate as described in the following sections.

3.3.1 Key Identifier

The <wsse:KeyIdentifier> element does not guarantee an immutable and unambiguous reference to the certificate referenced. Consequently implementations that use this form of reference within a signature SHOULD employ the STR Dereferencing Transform within a reference to the signature key information in order to ensure that the referenced certificate is signed, and not just the ambiguous reference. The form of the reference is a bare name reference as defined by the XPointer specification [XPointer].

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The following example shows a certificate referenced by means of a Keyldentifier. The scope of the signature is the <ds:SignedInfo> element which includes both the message body (#body) and the signing certificate by means of a reference to the <ds:KeyInfo> element which references it (#keyinfo). Since the <ds:KeyInfo> element only contains a mutable reference to the certificate rather than the certificate itself, a transformation is specified which replaces the reference to the certificate with the certificate. The <ds:KeyInfo> element specifies the signing key by means of a <wsse:SecurityTokenReference> element which contains a <wsse:KeyIdentifier> element which specifies the X.509 subject key identifier of the signing certificate.

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```
<S11:Envelope xmlns:S11="...">
   <S11:Header>
       <wsse:Security</pre>
            xmlns:wsse="..."
            xmlns:wsu="...">
          <ds:Signature</pre>
               xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
                <ds:Reference URI="#body">...</ds:Reference>
                <ds:Reference URI="#keyinfo">
                    <ds:Transforms>
                       <ds:Transform Algorithm="...#STR-Transform">
                          <wsse:TransformationParameters>
                             <ds:CanonicalizationMethod Algorithm="..."/>
                          </wsse:TransformationParameters>
                       </ds:Transform>
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```
306
                          </ds:Transforms>...
307
                       </ds:Reference>
308
                    </ds:SignedInfo>
309
                    <ds:SignatureValue>HFLP...</ds:SignatureValue>
310
                    <ds:KevInfo Id="kevinfo">
311
                       <wsse:SecurityTokenReference>
312
                          <wsse:KeyIdentifier EncodingType="...#Base64Binary"</pre>
313
                               ValueType="...#X509SubjectKeyIdentifier">
314
                             MIGfMa0GCSq...
315
                          </wsse:KeyIdentifier>
316
                       </wsse:SecurityTokenReference>
317
                    </ds:KeyInfo>
318
                </ds:Signature>
319
             </wsse:Security>
320
          </S11:Header>
321
          <S11:Body wsu:Id="body"
322
               xmlns:wsu=".../">
323
324
          </S11:Body>
325
       </S11:Envelope>
```

3.3.2 Reference to a Binary Security Token

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The following example shows a certificate embedded in a <wsse:BinarySecurityToken> element and referenced by URI within a signature. The certificate is included in the <wsse:Security> header as a <wsse:BinarySecurityToken> element with identifier binarytoken. The scope of the signature defined by a <ds:Reference> element within the <ds:SignedInfo> element includes the signing certificate which is referenced by means of the URI bare name pointer #binarytoken. The <ds:KeyInfo> element specifies the signing key by means of a <wsse:SecurityTokenReference> element which contains a <wsse:Reference> element which references the certificate by means of the URI bare name pointer #binarytoken.

```
<S11:Envelope xmlns:S11="...">
   <S11:Header>
      <wsse:Security</pre>
            xmlns:wsse="..."
            xmlns:wsu="...">
          <wsse:BinarySecurityToken</pre>
               wsu:Id="binarytoken"
                ValueType="...#X509v3"
                                                                                                  Deleted: wsse:
               EncodingType="...#Base64Binary">
             MIIEZzCCA9CgAwIBAgIQEmtJZc0...
                                                                                                  Deleted: wsse:
          </wsse:BinarySecurityToken>
          <ds:Signature
               xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
              <ds:SignedInfo>.
                 <ds:Reference URI="#body">...</ds:Reference>
                 <ds:Reference URI="#binarytoken">...</ds:Reference>
                                                                                                  Deleted: 15 March 2004
             </ds:SignedInfo>
             <ds:SignatureValue>HFLP...</ds:SignatureValue>
                                                                                                  Deleted: , 2003, 2004.
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                                                                             Page 12 of 21
```

```
359
                   <ds:KeyInfo>
360
                       <wsse:SecurityTokenReference>
361
                         <wsse:Reference URI="#binarytoken" />
362
                       </wsse:SecurityTokenReference>
363
                   </ds:KeyInfo>
364
                </ds:Signature>
365
             </wsse:Security>
366
          </S11:Header>
367
          <S11:Body wsu:Id="body"
368
              xmlns:wsu="...">
369
370
          </S11:Body>
371
       </S11:Envelope>
```

3.3.3 Reference to an Issuer and Serial Number

The signed data SHOULD contain a core bare name reference (as defined by the XPointer specification [XPointer]) to the <ds:KeyInfo> element that contains the security token reference.

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The following example shows a certificate referenced by means of its issuer name and serial number. In this example the certificate is not included in the message. The scope of the signature defined by the <ds:SignedInfo> element includes both the message body (#body) and the key information element (#keyInfo). The <ds:KeyInfo> element contains a <wsse:SecurityTokenReference> element which specifies the issuer and serial number of the specified certificate by means of the <ds:X509IssuerSerial> element.

```
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384
      <S11:Envelope xmlns:S11="...">
385
          <S11:Header>
386
             <wsse:Security</pre>
387
                  xmlns:wsse="...
388
                  xmlns:wsu="...">
389
                <ds:Signature
390
                       xmlns:ds="...">
391
                   <ds:SignedInfo>...
392
                      <ds:Reference URI="#body"></ds:Reference>
393
                       <ds:Reference URI="#keyinfo"></ds:Reference>
394
                   </ds:SignedInfo>
395
                   <ds:SignatureValue>HFLP...</ds:SignatureValue>
396
                   <ds:KeyInfo Id="keyinfo">
397
                       <wsse:SecurityTokenReference>
398
                          <ds:X509Data>
399
                             <ds:X509IssuerSerial>
400
                                <ds:X509IssuerName>
401
                                   DC=ACMECorp, DC=com
402
                                </ds:X509IssuerName>
403
                                <ds:X509SerialNumber>12345678</X509SerialNumber>
404
                             </ds:X509IssuerSerial>
405
                          </ds:X509Data>
406
                       </wsse:SecurityTokenReference>
407
                   </ds:KeyInfo>
408
                </ds:Signature>
409
             </wsse:Security>
410
          </S11:Header>
411
          <S11:Body wsu:Id="body"
```

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14 June 2005 Page 13 of 21 **Deleted:** 15 March 2004 **Deleted:** , 2003, 2004.

```
412
               xmlns:wsu="...">
413
414
          </S11:Body>
415
       </S11:Envelope>
```

3.4 Encryption

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Encrypted keys or data MAY identify a key required for decryption by identifying the corresponding key used for encryption by means of any of the X.509 security token types or references specified herein.

421 Since the sole purpose is to identify the decryption key it is not necessary to specify either a trust 422 path or the specific contents of the certificate itself.

It is RECOMMENDED that implementations specify an encryption key by reference to the Issuer and Serial Number of an X509v3 certificate security token.

The following example shows a decryption key referenced by means of the issuer name and serial number of an associated certificate. In this example the certificate is not included in the message. The <ds:KeyInfo> element contains a <wsse:SecurityTokenReference> element which specifies the issuer and serial number of the specified certificate by means of the <ds:X509IssuerSerial> element.

```
<S11:Envelope
           xmlns:S11="..."
            xmlns:ds="..."
            xmlns:wsse="..."
            xmlns:xenc="...">
          <S11:Header>
             <wsse:Security>
                <xenc:EncryptedKey>
                   <xenc:EncryptionMethod Algorithm="..."/>
                    <ds:KeyInfo>
                       <wsse:SecurityTokenReference>
444
                         <ds:X509Data>
                          <ds:X509IssuerSerial>
                             <ds:X509IssuerName>
                                DC=ACMECorp, DC=com
                             </ds:X509IssuerName>
                             <ds:X509SerialNumber>12345678</X509SerialNumber>
                          </ds:X509IssuerSerial>
451
                         </ds:X509Data>
                       </wsse:SecurityTokenReference>
                    </ds:KeyInfo>
                    <xenc:CipherData>
                       <xenc:CipherValue>.../xenc:CipherValue>
                    </xenc:CipherData>
                                                                                                    Formatted: Portuguese (Brazil)
                    <xenc:ReferenceList>
                       <xenc:DataReference URI="#encrypted"/>
                    </xenc:ReferenceList>
                </xenc:EncryptedKey>
                                                                                                    Deleted: 15 March 2004
             </wsse:Security>
          </S11:Header>
                                                                                                    Deleted: , 2003, 2004.
      WSS X509 Certificate Token Profile
                                                                                   14 June 2005
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                                                                                 Page 14 of 21
```

```
463
         <S11:Body>
464
            <xenc:EncryptedData Id="encrypted" Type="...">
465
               <xenc:CipherData>
466
                  <xenc:CipherValue>...</xenc:CipherValue>
               </xenc:CipherData>
467
468
            </xenc:EncryptedData>
469
         </S11:Body>
470
      </S11:Envelope>
```

3.5 Error Codes

When using X.509 certificates, the error codes defined in the WSS: SOAP Message Security specification [WS-Security] MUST be used.

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If an implementation requires the use of a custom error it is recommended that a sub-code be defined as an extension of one of the codes defined in the WSS: SOAP Message Security specification [WS-Security].

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4 Threat Model and Countermeasures (Non-**Normative)**

The use of X.509 certificate token introduces no new threats beyond those identified in WSS: SOAP Message Security specification [WS-Security].

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> Message alteration and eavesdropping can be addressed by using the integrity and confidentiality mechanisms described in WSS: SOAP Message Security [WS-Security]. Replay attacks can be addressed by using message timestamps and caching, as well as other application-specific tracking mechanisms. For X.509 certificates, identity is authenticated by use of keys, man-in-themiddle attacks are generally mitigated.

488 489 490

It is strongly RECOMMENDED that all relevant and immutable message data be signed.

491 492

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It should be noted that a transport-level security protocol such as SSL or TLS [RFC2246] MAY be used to protect the message and the security token as an alternative to or in conjunction with

WSS: SOAP Message Security specification [WS-Security]. 494

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5 References

495

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Appendix B: Revision History

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Rev	<u>Date</u>	By Whom	<u>What</u>
WGD 1.1	2004-09-13	Anthony Nadalin	Initial version cloned from the Vwesion 1.1 and Errata
WGD 1.1	2005-03-22	Anthony Nadalin	<u>Issue 373</u>
WGD 1.1	2005-05-11	Anthony Nadalin	<u>Issue 388</u>
WGD 1.1	2005-05-17	Anthony Nadalin	Formatting Issues
WGD 1.1	2005-06-14	Anthony Nadalin	Fix Example

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01	18-Sep-02	Initial draft based on input documents and review	editorial
03	30-Jan-03	Changes in title	
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Rev	Date	What
04	19-May-03	Added by reference and pkipath modes of cert identification. Added section 1 introduction, changes to formatting etc.
05	6 June 2003	
06	20 June 2003	Included examples showing how tokens must be referenced from signatures and cipher values. Defined how key-agreement keys are to be conveyed in a Security header.
07	4 August 2003	Modifications to Keyldentifier handling and use of SecurityTokenReference. Changes to the acknowledgements section.
08	6 August 2003	Reorganization of major sections to simplify flow
09	14 August 2003	Editorial corrections raised in off list emails.
10	19 August 2003	Editorial corrections raised in profile teleconference.
11	09 January 2004	Editorial corrections raised in forum
12	15 January 2004	Editorial correction, amend X509IssuerSerial usage
13	19 January 2004	Editorial corrections for name space and document name
14	17 Febuary 2004	Editorial corrections per Karl Best

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