



# Web Services Security SOAP Messages with Attachments (SwA) Profile 1.1

OASIS Working Draft 22, 19 June 2005

**Document identifier:**

wss-swa-profile-1.1-draft-22

**OASIS identifier:**

{WSS: SOAP Message Security }-{SwA Profile}-{1.1} (OpenOffice) (PDF)

**Location:**

Persistent: [persistent location]

This Version: <http://docs.oasis-open.org/wss/2005/xx/oasis-2005xx-wss-swa-profile-1.1>

Previous Version: none

**Technical Committee:**

OASIS Web Services Security (WSS) TC

**Chair(s):**

Kelvin Lawrence, IBM

Chris Kaler, Microsoft

**Editors:**

Frederick Hirsch, Nokia

**Abstract:**

This specification defines how to use the OASIS Web Services Security: SOAP Message Security standard [WSS-Sec] with SOAP Messages with Attachments [SwA].

**Status:**

This document was last revised or approved by the [Web Services Security TC | membership of OASIS] on the above date. The level of approval is also listed above. Check the current location noted above for possible later revisions of this document. This document is updated periodically on no particular schedule.

Technical Committee members should send comments on this specification to the Technical Committee's email list. Others should send comments to the Technical Committee by using the "Send A Comment" button on the Technical Committee's web page at [www.oasis-open.org/committees/wss](http://www.oasis-open.org/committees/wss).

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the Technical Committee web page ([www.oasis-](http://www.oasis-)

35  
36

[open.org/committees/wss/ipr.php](http://open.org/committees/wss/ipr.php). The non-normative errata page for this specification is located at [www.oasis-open.org/committees/wss](http://www.oasis-open.org/committees/wss).

---

# Notices

39 OASIS takes no position regarding the validity or scope of any intellectual property or other rights that  
40 might be claimed to pertain to the implementation or use of the technology described in this document or  
41 the extent to which any license under such rights might or might not be available; neither does it represent  
42 that it has made any effort to identify any such rights. Information on OASIS's procedures with respect to  
43 rights in OASIS specifications can be found at the OASIS website. Copies of claims of rights made  
44 available for publication and any assurances of licenses to be made available, or the result of an attempt  
45 made to obtain a general license or permission for the use of such proprietary rights by implementors or  
46 users of this specification, can be obtained from the OASIS President.

47 OASIS invites any interested party to bring to its attention any copyrights, patents or patent applications, or  
48 other proprietary rights which may cover technology that may be required to implement this specification.  
49 Please address the information to the OASIS President.

50 Copyright © OASIS Open 2005. *All Rights Reserved.*

51 This document and translations of it may be copied and furnished to others, and derivative works that  
52 comment on or otherwise explain it or assist in its implementation may be prepared, copied, published and  
53 distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and  
54 this paragraph are included on all such copies and derivative works. However, this document itself does  
55 not be modified in any way, such as by removing the copyright notice or references to OASIS, except as  
56 needed for the purpose of developing OASIS specifications, in which case the procedures for copyrights  
57 defined in the OASIS Intellectual Property Rights document must be followed, or as required to translate it  
58 into languages other than English.

59 The limited permissions granted above are perpetual and will not be revoked by OASIS or its successors  
60 or assigns.

61 This document and the information contained herein is provided on an "AS IS" basis and OASIS  
62 DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY  
63 WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY RIGHTS OR  
64 ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

## 65 Table of Contents

66	1 Introduction.....	5
67	2 Notations and Terminology.....	7
68	2.1 Notational Conventions.....	7
69	2.1.1 Namespaces.....	7
70	2.1.2 Acronyms and Abbreviations.....	8
71	2.2 Normative References.....	8
72	2.3 Non-normative References.....	9
73	3 MIME Processing.....	10
74	4 XML Attachments.....	11
75	5 Securing SOAP With Attachments.....	12
76	5.1 Primary SOAP Envelope.....	12
77	5.2 Referencing Attachments.....	12
78	5.3 MIME Part Reference Transforms.....	13
79	5.3.1 Attachment-Content-Signature-Transform.....	13
80	5.3.2 Attachment-Complete-Signature-Transform.....	13
81	5.3.3 Attachment-Ciphertext-Transform.....	14
82	5.4 Integrity and Data Origin Authentication .....	14
83	5.4.1 MIME header canonicalization.....	14
84	5.4.2 MIME Content Canonicalization.....	16
85	5.4.3 Protecting against attachment insertion threat.....	16
86	5.4.4 Processing Rules for Attachment Signing.....	16
87	5.4.5 Processing Rules for Attachment Signature Verification.....	17
88	5.4.6 Example Signed Message.....	17
89	5.5 Encryption.....	18
90	5.5.1 MIME Part CipherReference.....	19
91	5.5.2 Encryption Processing Rules.....	19
92	5.5.3 Decryption Processing Rules.....	20
93	5.5.4 Example.....	21
94	5.6 Signing and Encryption.....	22

---

# 1 Introduction

95

96 This section is non-normative. Note that sections 2.2 and 5 are normative. All other sections are non-  
97 normative.

98 This document describes how to use the OASIS Web Services Security: SOAP Message Security  
99 standard [WSS-Sec] with SOAP Messages with Attachments [SwA]. More specifically, it describes how a  
100 web service consumer can secure SOAP attachments using SOAP Message Security for attachment  
101 integrity, confidentiality and origin authentication, and how a receiver may process such a message.

102 A broad range of industries - automotive, insurance, financial, pharmaceutical, medical, retail, etc - require  
103 that their application data be secured from its originator to its ultimate consumer. While some of this data  
104 will be XML, quite a lot of it will not be. In order for these industries to deploy web service solutions, they  
105 need an interoperable standard for end-to-end security for both their XML data and their non-XML data.

106 Profiling SwA security may help interoperability between the firms and trading partners using attachments  
107 to convey non-XML data that is not necessarily linked to the XML payload. Many industries, such as the  
108 insurance industry require free-format document exchange in conjunction with web services messages.  
109 This profile of SwA should be of value in these cases.

110 In addition, some content that could be conveyed as part of the SOAP body may be conveyed as an  
111 attachment due to its large size to reduce the impact on message and XML processing, and may be  
112 secured as described in this profile.

113 This profile is applicable to using SOAP Message Security in conjunction with SOAP Messages with  
114 Attachments (SwA). This means the scope is limited to SOAP 1.1, the scope of SwA.

115 Goals of this profile include the following:

- 116 • Enable those who choose to use SwA to secure these messages, including chosen attachments, using  
117 SOAP Message Security
- 118 • Allow the choice of securing MIME header information exposed to the SOAP layer, if desired.
- 119 • Do not interfere with MIME transfer mechanisms, in particular, allow MIME transfer encodings to  
120 change to support MIME transfer, despite support for integrity protection.
- 121 • Do not interfere with the SOAP processing model – in particular allow SwA messages to transit SOAP  
122 intermediaries.

123 Non-goals include:

- 124 • Provide guidance on which of a variety of security mechanisms are appropriate to a given application.  
125 The choice of transport layer security (e.g. SSL/TLS), S/MIME, application use of XML Signature and  
126 XML Encryption, and other SOAP attachment mechanisms (MTOM) is explicitly out of scope. This  
127 profile assumes a need and desire to secure SwA using SOAP Message security.
- 128 • Outline how different security mechanisms may be used in combination.
- 129 • Enable persisting signatures. It may be possible depending on the situation and measures taken, but is  
130 not discussed in this profile.
- 131 • Support signing and/or encryption of portions of attachments. This is not supported by this profile, but  
132 is not necessarily precluded. Application use of XML Signature and XML Encryption may be used to  
133 accomplish this. SOAP Message security may also support this in some circumstances, but this profile  
134 does not address or define such usage.

135 The existence of this profile does not preclude using other mechanisms to secure attachments conveyed  
136 in conjunction with SOAP messages, including the use of XML security technologies at the application  
137 layer or the use of security for the XML Infoset before a serialization that uses attachment technology

138 [MTOM]. The requirements in this profile only apply when securing SwA attachments explicitly according  
139 to this profile.

---

## 2 Notations and Terminology

This section specifies the notations, namespaces, and terminology used in this specification.

### 2.1 Notational Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as described in IETF RFC 2119 [RFC2119].

Listings of productions or other normative code appear like this.

---

Example code listings appear like this.

---

**Note:** Non-normative notes and explanations appear like this.

When describing abstract data models, this specification uses the notational convention used by the XML Infoset. Specifically, abstract property names always appear in square brackets (e.g., [some property]).

When describing concrete XML schemas [XML-Schema], this specification uses the notational convention of OASIS Web Services Security: SOAP Message Security. Specifically, each member of an element's [children] or [attributes] property is described using an XPath-like [XPath] notation (e.g., /x:MyHeader/x:SomeProperty/@value1). The use of {any} indicates the presence of an element wildcard (<xs:any/>). The use of @{any} indicates the presence of an attribute wildcard (<xs:anyAttribute/>).

Commonly used security terms are defined in the Internet Security Glossary [SECGLO]. Readers are presumed to be familiar with the terms in this glossary as well as the definitions in the SOAP Message Security specification [WSS-Sec] .

#### 2.1.1 Namespaces

Namespace URIs (of the general form "some-URI") represent application-dependent or context-dependent URIs as defined in RFC 2396 [RFC2396]. This specification is designed to work with the SOAP 1.1 [SOAP11] message structure and message processing model, the version of SOAP supported by SOAP Messages with Attachments. The current SOAP 1.1 namespace URI is used herein to provide detailed examples.

The namespaces used in this document are shown in the following table (note that for brevity, the examples use the prefixes listed below but do *not* include the URIs – those listed below are assumed).

Prefix	Namespace
S11	<a href="http://schemas.xmlsoap.org/soap/envelope/">http://schemas.xmlsoap.org/soap/envelope/</a>
wsse	<a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd</a>
wsu	<a href="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd</a>
wsswa	<a href="http://docs.oasis-open.org/wss/2004/XX/oasis-2004XX-wss-swa-profile-1.0.xsd">http://docs.oasis-open.org/wss/2004/XX/oasis-2004XX-wss-swa-profile-1.0.xsd</a>

The URLs provided for the wsse and wsu namespaces can be used to obtain the schema files.

**Note:** When this document is finalized the wsswa URL will be updated, replacing XX values and possibly making other changes.

## 170 2.1.2 Acronyms and Abbreviations

171 The following (non-normative) table defines acronyms and abbreviations for this document, beyond those  
172 defined in the SOAP Message Security standard.

Term	Definition
CID	Content ID scheme for URLs. Refers to Multipart MIME body part, that includes both MIME headers and content for that part. [RFC2392]
SwA	SOAP Messages with Attachments [SwA]

## 173 2.2 Normative References

- 174 [RFC 2119] S. Bradner. *Key words for use in RFCs to Indicate Requirement Levels*. IETF  
175 RFC 2119, March 1997. <http://www.ietf.org/rfc/rfc2119.txt>.
- 176 [CHARSETS] Character sets assigned by IANA. See [ftp://ftp.isi.edu/in-](ftp://ftp.isi.edu/in-notes/iana/assignments/character-sets)  
177 [notes/iana/assignments/character-sets](ftp://ftp.isi.edu/in-notes/iana/assignments/character-sets).
- 178 [Excl-Canon] "Exclusive XML Canonicalization, Version 1.0", W3C Recommendation, 18 July  
179 2002. <http://www.w3.org/TR/xml-exc-c14n/>.
- 180 [RFC2045] "Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet  
181 Message Bodies", IETF RFC 2045, November 1996,  
182 <http://www.ietf.org/rfc/rfc2045.txt>.
- 183 [RFC2046] "Multipurpose Internet Mail Extensions (MIME) Part Two: Media Types", IETF  
184 RFC 2046, November 1996, <http://www.ietf.org/rfc/rfc2046.txt>.
- 185 [RFC2047] "Multipurpose Internet Mail Extensions (MIME) Part Three: Message Header  
186 Extensions for Non-ASCII Text", IETF RFC 2047, November 1996,  
187 <http://www.ietf.org/rfc/rfc2047.txt>.
- 188 [RFC2048] "Multipurpose Internet Mail Extensions (MIME) Part Four: Registration  
189 Procedures", <http://www.ietf.org/rfc/rfc2048.txt>.
- 190 [RFC2049] "Multipurpose Internet Mail Extensions(MIME) Part Five: Conformance Criteria  
191 and Examples", <http://www.ietf.org/rfc/rfc2049.txt>.
- 192 [RFC2119] S. Bradner, "Key words for use in RFCs to Indicate Requirement Levels", IETF  
193 RFC 2119, March 1997, <http://www.ietf.org/rfc/rfc2119.txt>.
- 194 [RFC2184] P. Resnick, "MIME Parameter Value and Encoded Word Extensions: Character  
195 Sets, Languages, and Continuations", IETF RFC 2184, August 1997,  
196 <http://www.ietf.org/rfc/rfc2184.txt>.
- 197 [RFC2392] E. Levinson, "Content-ID and Message-ID Uniform Resource Locators", IETF  
198 RFC 2392, <http://www.ietf.org/rfc/rfc2392.txt>.
- 199 [RFC2396] T. Berners-Lee, R. Fielding, L. Masinter, "Uniform Resource Identifiers (URI):  
200 Generic Syntax," RFC 2396, MIT/LCS, U.C. Irvine, Xerox Corporation, August  
201 1998, <http://www.ietf.org/rfc/rfc2396.txt>.
- 202 [RFC2557] "MIME Encapsulation of Aggregate Documents, such as HTML (MHTML)", IETF  
203 RFC 2557, March 1999, <http://www.ietf.org/rfc/rfc2557.txt>.
- 204 [RFC2633] Ramsdell B., "S/MIME Version 3 Message Specification", Standards Track RFC  
205 2633, June 1999. <http://www.ietf.org/rfc/rfc2633.txt>.
- 206 [RFC2822] "Internet Message Format", IETF RFC 2822, April 2001,  
207 <http://www.ietf.org/rfc/rfc2822.txt>.
- 208 [SECGLO] "Internet Security Glossary," Informational RFC 2828, May 2000.
- 209 [SOAP11] "SOAP: Simple Object Access Protocol 1.1", W3C Note, 08 May 2000.
- 210 [SwA] "SOAP Messages with Attachments", W3C Note, 11 December 2000,  
211 <http://www.w3.org/TR/2000/NOTE-SOAP-attachments-20001211>.

212 **[WS-I-AP]** "Attachments Profile Version 1.0", *Final Material*, 2004-08-24, [http://www.ws-](http://www.ws-i.org/Profiles/AttachmentsProfile-1.0.html)  
213 [i.org/Profiles/AttachmentsProfile-1.0.html](http://www.ws-i.org/Profiles/AttachmentsProfile-1.0.html).

214 **[WSS-Sec]** A. Nadalin et al., "Web Services Security: SOAP Message Security 1.0 (WS-  
215 Security 2004)", OASIS Standard 200401, March 2004, [http://docs.oasis-](http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0.pdf)  
216 [open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0.pdf](http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0.pdf).

217 **[XML-Schema]** W3C Recommendation, "XML Schema Part 1: Structures," 2 May 2001,  
218 <http://www.w3.org/TR/2001/REC-xmlschema-1-20010502/>.

219 W3C Recommendation, "XML Schema Part 2: Datatypes," 2 May 2001,  
220 <http://www.w3.org/TR/2001/REC-xmlschema-2-20010502/>.

221 **[XML-Sig]** W3C Recommendation, "XML-Signature Syntax and Processing", 12 February  
222 2002, <http://www.w3.org/TR/xmlsig-core/>.

223 **[XPath]** W3C Recommendation, "XML Path Language", 16 November 1999,  
224 <http://www.w3.org/TR/xpath>.

## 225 **2.3 Non-normative References**

226 **[DecryptT]** M. Hughes et al, "Decryption Transform for XML Signature", W3C  
227 Recommendation, 10 December 2002. <http://www.w3.org/TR/xmlenc-decrypt/>.

228 **[MTOM]** "SOAP Message Transmission Optimization Mechanism", W3C  
229 Recommendation, 25 January 2005, <http://www.w3.org/TR/soap12-mtom/>.

## 3 MIME Processing

231 This profile is concerned with the securing of SOAP messages with attachments, attachments that are  
232 conveyed as MIME parts in a multi-part MIME message as outlined in SOAP Messages with Attachments  
233 [SwA]. This involves two processing layers, SOAP messaging and MIME transfer. This specification  
234 defines processing of a merged SOAP and MIME layer, in order to meet SwA security requirements. It  
235 relies on an underlying MIME transfer layer that allows changes to MIME transfer encoding as a message  
236 transits MIME nodes. This profile does not impose restrictions on that MIME transfer layer apart from  
237 aspects that are exposed to the SOAP processing layer. Likewise, this profile does not restrict the SOAP  
238 processing model, including use of SOAP intermediaries, allowing SOAP Messages with Attachments to  
239 transit SOAP nodes.

240 To accommodate the ability to secure attachment headers that are exposed to the SOAP message layer  
241 and application, this profile does not assume a strict protocol layering of MIME, SOAP and application.  
242 Rather, this profile allows a SOAP sender to create a primary SOAP envelope as well as attachments to  
243 be sent with the message. It is up to the application which, if any, of the attachments are referenced from  
244 SOAP header and/or body blocks. The application may be aware of, and concerned with, certain aspects  
245 of the attachment MIME representation, including Content-Type and Content-Length headers, to give two  
246 examples. Due to this concern, the application may choose to secure these exposed headers. This does  
247 not mean, however, that the application and SOAP layer are aware or concerned with all MIME headers  
248 used for MIME transit, in particular issues related to transfer encoding. The expectation is that the MIME  
249 processing layer of the sender and receiver will handle transfer encoding issues, hiding this detail from the  
250 processing layer associated with this profile. As a result, this specification focuses on those aspects of  
251 MIME processing that are exposed and of concern to higher protocol layers, while ignoring MIME transit  
252 specific details.

253 This model has two implications. First, it means that certain aspects of MIME processing, such as transfer  
254 encoding processing, are out of scope of the profile and do not need to be addressed. Secondly, it means  
255 that many of the MIME headers are also out of scope of the profile and the profile does not support  
256 integrity protection of these headers, since they are expected to change. If more security protection is  
257 required then it must occur by other means, such as with a protocol layer below the MIME layer, for  
258 example transport security (with the understanding that such security may not always apply end-end).

259 Use of this profile is intended to be independent of MIME-specific security processing, although care must  
260 be taken when using both SOAP Message Security and S/MIME. When conveyed end-to-end, S/MIME  
261 content may be conveyed opaquely as one or more attachments, as a MIME content type. If S/MIME  
262 security is to be used between nodes that convey the SOAP message, then this may also be opaque to  
263 SOAP Message Security, as long as the attachment that was sent by the initial SOAP sender is the same  
264 as that which is received by the receiving SOAP intermediary or ultimate SOAP receiver. Care must be  
265 taken to ensure this will be the case. Clearly SOAP Message Security encryption could prevent S/MIME  
266 processing of an attachment, and likewise S/MIME encryption could prevent SOAP Message Security  
267 signature verification if these techniques are interleaved. This potential concern is out of scope of this  
268 profile.

---

269

## 4 XML Attachments

270 A SOAP Messages with Attachments multi-part MIME structure contains a primary SOAP envelope in the  
271 root part and one or more attachments in additional MIME parts. Some of these attachments may have a  
272 content type corresponding to XML, but do not contain the primary SOAP envelope to be processed.

273 Some attachments associated with the SOAP body may be targeted at the SOAP Ultimate Receiver along  
274 with the SOAP body and may be processed at the application layer along with the body. Others may be  
275 targeted at intermediaries. How attachments are to be processed and how these attachments are  
276 referenced from SOAP header and body blocks, if at all, is dependent on the application. In many cases  
277 the attachment content may not need to be processed as XML as the message traverses intermediaries.

278 Generally requiring canonicalization of XML attachments whenever transmitting them is undesirable, both  
279 due to the potential ambiguities related to the canonicalization context of the attachment (e.g. Is it an  
280 independent XML document, a portion of the primary SOAP envelope, etc) as well as the universal  
281 performance impact of such a canonicalization requirement. When XML attachment content is signed,  
282 then XML canonicalization is required, as is generally the case when signing XML.

283 MIME part canonicalization (as described below) is required for non-XML attachments to enable SOAP  
284 Message Security signatures that are stable despite MIME transfer processing.

---

## 285 5 Securing SOAP With Attachments

286 Attachments may be associated with SOAP messages, as outlined in SOAP Messages with Attachments  
287 [SwA]. This profile defines how such attachments may be secured for integrity and confidentiality using the  
288 OASIS Web Services Security: SOAP Message Security standard. This does not preclude using other  
289 techniques. The requirements in this profile only apply when securing SwA attachments explicitly  
290 according to this profile.

291 This profile considers all attachments as opaque whether they are XML or some other content type. It is  
292 the sole responsibility of the application to perform further interpretation of attachments, including the  
293 ability to sign or encrypt portions of those attachments.

### 294 5.1 Primary SOAP Envelope

295 When SOAP attachments are used as specified in [SwA] each SOAP message is accompanied by a  
296 MIME header and possibly multiple boundary parts. This is known as a SOAP message package. This  
297 document assumes that a proper SOAP message package is constructed using the HTTP and MIME  
298 headers appropriate to [SwA].

299 The primary SOAP envelope SHOULD be conveyed in the first MIME part, but MAY be conveyed in  
300 another MIME part when the start attribute is specified in the HTTP Multipart/Related header.

301 In particular, implementations should take care in distinguishing between the HTTP headers in the SOAP  
302 message package and the start of the SOAP payload. For example, the following Multipart/Related  
303 header belongs to the HTTP layer and not the main SOAP payload:

```
304 Content-Type: Multipart/Related; boundary=xyl; type="text/xml"; start="<foo>"
```

305 The main SOAP payload begins with the appropriate boundary. For example:

```
306 --xyl  
307 Content-Type: text/xml; charset=utf-8  
308 Content-ID: <foo>  
  
309 <?xml version='1.0' ?>  
310 <s11:Envelope xmlns:s11="http://schemas.xmlsoap.org/soap/envelope/" />
```

### 311 5.2 Referencing Attachments

312 SOAP Messages with Attachments defines two MIME mechanisms for referencing attachments. The first  
313 mechanism uses a CID scheme URL to refer to the attachment that has a Content-ID MIME header with a  
314 value corresponding to the URL, as defined in [RFC 2392]. For example, a content id of "foo" may be  
315 specified in the MIME part with the MIME header "Content-ID: <foo>" and be referenced using the CID  
316 Schema URL "cid:foo".

317 The second mechanism is to use a URL to refer to an attachment containing a Content-Location MIME  
318 header. In this case the URL may require resolution to determine the referenced attachment [RFC2557].

319 For simplicity and interoperability this profile limits WS-Security references to attachments to CID scheme  
320 URLs. Attachments referenced from WS-Security signature references or cipher references MUST be  
321 referenced using CID scheme URLs.

322 This profile assumes, since it is not defined in RFC 2396 Section 4.2, that all cid: references are not  
323 same-document references and that therefore, under XMLDSIG, dereferencing a cid: URI always yields  
324 an octet stream as input to the transform chain [RFC2396], [XMLDSIG].

## 325 **5.3 MIME Part Reference Transforms**

326 By definition of RFC 2392, a URI reference to a MIME attachment includes the MIME headers associated  
327 with that attachment as well as the MIME part content [RFC2392]. Since there may be some confusion as  
328 to what is referenced, it is useful to clearly indicate what is included in the referenced attachment. In  
329 addition, some applications may wish to only encrypt or include the attachment content in a signature  
330 reference hash, and others may wish to include MIME headers and content.

331 For these reasons, this profile defines reference transforms, allowing a clear and explicit statement of  
332 what is included in a MIME reference. These transforms are called "MIME Part Reference Transforms".

333 The input of each of these transforms is an octet stream, as defined in XML Security [XML-Sig].

### 334 **5.3.1 Attachment-Content-Signature-Transform**

335 The Attachment-Content-Signature-Transform indicates that only the content of a MIME part is referenced  
336 for signing. This transform MUST be identified using the URI value:

```
337 http://docs.oasis-open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-  
338 1.1#Attachment-Content-Signature-Transform
```

339 When this transform is used the content of the MIME part should be canonicalized as defined in section  
340 4.4.2.

341 The octet stream input to this transform is the entire content of the MIME attachment associated with the  
342 CID, including all the MIME headers and attachment content, as represented in the MIME part containing  
343 the attachment.

344 The output of the transform is an octet stream consisting of the canonicalized serialization of the  
345 attachment content. All of the MIME headers associated with the MIME part are ignored and not included  
346 in the output octet stream. The canonicalization of the content is described in section 4.4.2 of this  
347 specification.

### 348 **5.3.2 Attachment-Complete-Signature-Transform**

349 The Attachment-Complete-Signature-Transform indicates that both the content and selected headers of  
350 the MIME part are referenced for signing. This transform MUST be identified using the URI value:

```
351 http://docs.oasis-open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-  
352 1.1#Attachment-Complete-Signature-Transform
```

353 This transform specifies that in addition to the content the following MIME headers are to be included  
354 (when present):

- 355 • Content-Description
- 356 • Content-Disposition
- 357 • Content-ID
- 358 • Content-Location
- 359 • Content-Type

360 These headers are included because of their common use and the risks associated with inappropriate  
361 modification. If other headers are to be protected, other mechanisms at the application level should be  
362 used (such as copying values into a SOAP header) and this is out of scope of this profile.

363 Other MIME headers associated with the MIME part serialization are not referenced by the transform and  
364 are not to be included in signature calculations.

365 When this transform is used the MIME headers should be canonicalized as defined in section 4.4.1 and  
366 the MIME content should be canonicalized as defined in section 4.4.2.

367 The octet stream input to this transform is the entire content of the MIME attachment associated with the  
368 CID, including all the MIME headers and attachment content, as represented in the MIME part containing  
369 the attachment.

370 The output of the transform is an octet stream consisting of concatenation of the MIME canonicalized  
371 MIME headers selected by the transform followed by the canonicalized attachment content. The  
372 canonicalization of headers and content are described in sections 4.4.1 and 4.4.2 of this specification.

### 373 **5.3.3 Attachment-Ciphertext-Transform**

374 The Attachment-Ciphertext-Transform indicates that only the content of a MIME part is referenced, and  
375 contains the ciphertext related to an XML EncryptedData element. This transform MUST be identified  
376 using the URI value:

```
377 http://docs.oasis-open.org/wss/2005/xx/oasis-2005xx-wss-swa-profile-  
378 1.1#Attachment-Ciphertext-Transform
```

379 The octet stream input to this transform is the entire content of the MIME attachment associated with the  
380 CID, including all the MIME headers and attachment content, as represented in the MIME part containing  
381 the attachment.

382 The output of the transform is an octet stream consisting of the ciphertext as conveyed in the MIME part  
383 content. All of the MIME headers associated with the MIME part are ignored and not included in the output  
384 octet stream. The MIME text canonicalization of the content is described in section 4.4.2 of this  
385 specification.

## 386 **5.4 Integrity and Data Origin Authentication**

387 Integrity and data origin authentication may be provided for SwA attachments using XML Signatures, as  
388 outlined in the SOAP Message Security standard as profiled in this document. This is useful independent  
389 of the content of the MIME part – for example, it is possible to sign a MIME part that already contains a  
390 signed object created by an application. It may be sensible to sign such an attachment as part of SOAP  
391 Message security so that the receiving SOAP node may verify that all attachments are intact before  
392 delivering them to an application. A SOAP intermediary may also choose to perform this verification, even  
393 if the attachments are not otherwise processed by the intermediary.

### 394 **5.4.1 MIME header canonicalization**

395 The result of MIME header canonicalization is a UTF-8 encoded octet stream.

396 Each of the MIME headers listed for the Attachment-Complete transform MUST be canonicalized as part  
397 of that transform processing, as outlined in this section. This means the transform MUST perform the  
398 following actions in interpreting the MIME headers for signature creation or verification (this order is not  
399 prescriptive as long as the same result is obtained)

- 400 1. The transform MUST process MIME headers before the MIME content.
- 401 2. The transform MUST only process MIME headers that are explicitly present in the attachment part and  
402 are listed in the Attachment-Complete transform section of this specification, except that a MIME part  
403 without a Content-Type header MUST be treated as having a Content-Type header with the value

- 404 "Content-Type: text/plain; charset=us-ascii". MIME headers not listed in the Attachment-Complete  
405 transform section of this specification are to be ignored by the transform.
- 406 3. The MIME headers MUST be processed by the Attachment-Complete transform in lexicographic order  
407 (ascending).
- 408 4. The MIME header names MUST be processed by the transform as having the case according to the  
409 MIME specifications (as shown in the Attachment-Complete section).
- 410 5. The MIME header values MUST be unfolded [[RFC2822](#)].
- 411 6. Any Content-Description MIME header containing RFC2047 encoding MUST be decoded [[RFC2047](#)].
- 412 7. When a Content-ID header is processed, the "<>" characters associated with the msg-id MUST be  
413 included in the transform input. The reason is that although semantically these angle bracket  
414 characters are not part of the msg-id (RFC 2822) they are a standard part of the header lexicographic  
415 representation. If these characters are not integrity protected then an attacker could remove them  
416 causing the CID transformation specified in RFC2392 to fail.
- 417 8. Folding whitespace in structured MIME headers (e.g. Content-Disposition, Content-ID, Content-  
418 Location, Content-Type) that is not within quotes MUST be removed. Folding whitespace in structured  
419 MIME headers that is within quotes MUST be preserved. Folding whitespace in unstructured MIME  
420 headers (e.g. Content-Description) MUST be preserved [[RFC2822](#)]. For example, whitespace  
421 immediately following the colon delimiter in the structured Content-Type header MUST be removed,  
422 but whitespace immediately following the colon delimiter in the unstructured Content-Description  
423 header MUST be preserved.
- 424 9. Comments in MIME header values MUST be removed [[RFC2822](#)].
- 425 10. Case-insensitive MIME header values (e.g. media type/subtype values and disposition-type values)  
426 MUST be converted to lowercase. Case-sensitive MIME header values MUST be left as is with  
427 respect to case [[RFC2045](#)].
- 428 11. Quoted characters other than double-quote and backslash ("\") in quoted strings in structured MIME  
429 headers (e.g. Content-ID) MUST be unquoted. Double-quote and backslash ("\") characters in quoted  
430 strings in structured MIME headers MUST be character encoded [[RFC2822](#)].
- 431 12. Canonicalization of a MIME header MUST generate a UTF-8 encoded octet stream containing the  
432 following: the MIME header name, a colon (":"), the MIME header value, and the result of  
433 canonicalizing the MIME header parameters in lexicographic order (ascending) as described below.
- 434 13. MIME header parameter names MUST be converted to lowercase [[RFC2045](#)].
- 435 14. MIME parameter values containing RFC2184 character set, language, and continuations MUST be  
436 decoded. The resulting canonical output MUST not contain the RFC2184 encoding [[RFC2184](#)].
- 437 15. Case-insensitive MIME header parameter values MUST be converted to lowercase. Case-sensitive  
438 MIME header parameter values MUST be left as is with respect to case [[RFC2045](#)].
- 439 16. Enclosing double-quotes MUST be added to MIME header parameter values that do not already  
440 contain enclosing quotes. Quoted characters other than double-quote and backslash ("\") in MIME  
441 header parameter values MUST be unquoted. Double-quote and backslash characters in MIME  
442 parameter values MUST be character encoded.
- 443 17. Canonicalization of a MIME header parameter MUST generate a UTF-8 encoded octet stream  
444 containing the following: a semi-colon (";"), the parameter name (lowercase), an equals sign ("="), and  
445 the double-quoted parameter value.
- 446 18. Each header MUST be terminated by a single CRLF pair, without any trailing whitespace.
- 447 19. The last header MUST be followed by a single CRLF and then the MIME content.

## 448 **5.4.2 MIME Content Canonicalization**

449 Before including attachment content in a signature reference hash calculation, that MIME attachment  
450 SHOULD be canonicalized. The reason is that signature verification requires an identical hash of content  
451 as when signing occurred.

452 Content of an XML Content-Type MUST be XML canonicalized using Exclusive XML Canonicalization  
453 without comments, as specified by the URI <http://www.w3.org/2001/10/xml-exc-c14n#> [[Excl-Canon](#)]. The  
454 reason for requiring Exclusive Canonicalization is that many implementations will support Exclusive  
455 Canonicalization for other XML Signature purposes, since this form of canonicalization supports context  
456 changes. The InclusiveNamespace PrefixList attribute SHOULD be empty or not present.

457 Other types of MIME content SHOULD be canonicalized according to the MIME part canonicalization  
458 mechanism appropriate to the Content-Type of the MIME part.

459 To quote the S/MIME specification (section 3.1.1 “Canonicalization”) which deals with this issue  
460 [[RFC2633](#)]:

461       The exact details of canonicalization depend on the actual MIME type and subtype of an  
462       entity, and are not described here. Instead, the standard for the particular MIME type should  
463       be consulted. For example, canonicalization of type text/plain is different from  
464       canonicalization of audio/basic. Other than text types, most types have only one  
465       representation regardless of computing platform or environment which can be considered  
466       their canonical representation.

467 MIME types are registered. This registration includes a section on “Canonicalization and Format  
468 Requirements” [[RFC2048](#)] and requires each MIME type to have a canonical representation.

469 The MIME “text” type canonical form is defined in the MIME conformance specification (See “Canonical  
470 Encoding Model”) [[RFC2049](#)]. Important aspects of “text” media type canonicalization include line ending  
471 normalization to <CR><LF> and ensuring that the charset is a registered charset (see RFC 2633 section  
472 “Canonicalization”). [[RFC2633](#), [CHARSETS](#), [RFC2045](#)].

## 473 **5.4.3 Protecting against attachment insertion threat**

474 Including an attachment in a signature calculation enables a receiver to detect modification of that  
475 attachment. Including all attachments in a signature calculation, by providing a <ds:Reference> for each,  
476 protects against the threat of attachment removal. This does not protect against insertion of a new  
477 attachment.

478 The simplest protection against attachment insertion is for the receiver to know that all attachments  
479 should be included in a signature calculation – unreferenced attachments are then an indication of an  
480 attachment insertion attack.

481 Such information may be communicated in or out of band. Definition of these approaches is out of the  
482 scope of this profile.

## 483 **5.4.4 Processing Rules for Attachment Signing**

484 The processing rule for signing is modified based on the SOAP Message Security rules.

485 After determining which attachments are to be included as references in a signature, create a  
486 <ds:Signature> element in a <wsse:Security> header block targeted at the recipient, including a  
487 <ds:Reference> for each attachment to be protected by the signature. Additional <ds:Reference>  
488 elements may refer to content in the SOAP envelope to be included in the signature.

489 For each attachment Reference, perform the following steps:

- 490 1. MIME Part Canonicalize the content of the attachment, as appropriate to the MIME type of the part, as  
491 outlined in section 4.4.2 Attachments of an XML content type require Exclusive XML Canonicalization  
492 without comments[[Excl-Canon](#)].
- 493 2. If MIME headers are to be included in the signature, perform MIME header canonicalization as  
494 outlined in section 4.4.1.
- 495 3. Determine the CID scheme URL to be used to reference the part and set the <ds:Reference> URL  
496 attribute value to this URL.
- 497 4. Include a <ds:Transforms> element in the <ds:Reference>. This <ds:Transforms> element MUST  
498 include a <ds:Transform> element with the Algorithm attribute having the full URL value specified  
499 earlier in this profile – corresponding to either the Attachment-Complete-Signature-Transform or  
500 Attachment-Content-Signature-Transform, depending on what is to be included in the hash calculation.  
501 This MUST be the first transform listed. The <ds:Transform> element MUST NOT contain any  
502 transform for a MIME transfer encoding purpose (e.g. base64 encoding) since transfer encoding is left  
503 to the MIME layer as noted in section 2. This does not preclude the use of XML Transforms, including  
504 a base64 transform, for other purposes.
- 505 5. Extract the appropriate portion of the MIME part consistent with the selected transform.
- 506 6. Create the <ds:Reference> hash value as outlined in the W3C XML Digital Signature  
507 Recommendation.

## 508 **5.4.5 Processing Rules for Attachment Signature Verification**

509 Signature verification is performed as outlined in SOAP Message Security and the XML Digital Signature  
510 Recommendation, with the following considerations for SwA attachments.

511 To verify <ds:Reference> hashes for SwA attachments, the following steps must be performed for each  
512 reference to an attachment:

- 513 1. Find the attachment corresponding to the <ds:Reference> URL attribute value. This value MUST  
514 correspond to the Content-ID for the attachment[[SwA](#)].
- 515 2. MIME Part Canonicalize the content of the attachment, as appropriate to the MIME type of the part, as  
516 outlined in section 4.4.2. Attachments of an XML content type require Exclusive XML Canonicalization  
517 without comments[[Excl-Canon](#)]. The MIME content to be MIME canonicalized MUST have had any  
518 transfer-encoding processed at the MIME layer before this step is performed.
- 519 3. If MIME headers were included in the signature, perform MIME header canonicalization as outlined in  
520 section 4.4.1.
- 521 4. Extract the appropriate portion of the MIME part according to the MIME Part Signature Transform  
522 value.
- 523 5. Calculate the reference hash and verify the reference.

## 524 **5.4.6 Example Signed Message**

```
525 Content-Type: multipart/related; boundary="BoundaryStr" type="text/xml"  
526 --BoundaryStr  
527 Content-Type: text/xml  
528 <S11:Envelope xmlns:S11="..." xmlns:wsse="..." xmlns:wsu="..." xmlns:ds="..."  
529 xmlns:xenc="...">  
530 <S11:Header>  
531 <wsse:Security>
```

```

532     <wsse:BinarySecurityToken wsu:Id="CertAssociatedWithSigningKey"
533         EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-
534 wss-soap-message-security-1.0#Base64Binary"
535         ValueType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
536 x509-token-profile-1.0#x509v3">
537         ...
538     </wsse:BinarySecurityToken>

539     <ds:Signature>
540         <ds:SignedInfo>
541             <ds:CanonicalizationMethod Algorithm=
542 'http://www.w3.org/2001/10/xml-exc-c14n#'/>
543             <ds:SignatureMethod Algorithm=
544 'http://www.w3.org/2000/09/xmldsig#rsa-sha1' />
545             <ds:Reference URI="cid:bar">
546                 <ds:Transforms>
547                     <ds:Transform Algorithm="http://docs.oasis-
548 open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-1.1#Attachment-Content-
549 Signature-Transform"/>
550                 </ds:Transforms>
551                 <ds:DigestMethod Algorithm=
552 "http://www.w3.org/2000/09/xmldsig#sha1"/>
553                 <ds:DigestValue>j6lwx3rvEPO0vKtMup4NbeVu8nk=</ds:DigestValue>
554             </ds:Reference>
555         </ds:SignedInfo>
556         <ds:SignatureValue>DeadBeef</ds:SignatureValue>

557     <ds:KeyInfo>
558         <wsse:SecurityTokenReference>
559             <wsse:Reference URI="#CertAssociatedWithSigningKey"/>
560         </wsse:SecurityTokenReference>
561     </ds:KeyInfo>

562 </ds:Signature>
563 </wsse:Security>
564 </S11:Header>
565 <S11:Body>
566     some items
567 </S11:Body>
568 </S11:Envelope>
569 --BoundaryStr
570 Content-Type: image/png
571 Content-ID: <bar>
572 Content-Transfer-Encoding: base64
573 the image

```

## 574 5.5 Encryption

575 A SwA attachment may be encrypted for confidentiality protection, protecting either the MIME part content  
576 including selected MIME headers, or only the MIME part content.

577 This is done using XML Encryption to encrypt the attachment, placing the resulting cipher text in the  
578 updated attachment body replacing the original content, and placing a new <xenc:EncryptedData>  
579 element in the <wsse:Security> header. An <xenc:CipherReference> MUST link the  
580 <xenc:EncryptedData> element with the cipher data.

581 The key used for encryption MAY be conveyed using an <xenc:EncryptedKey> element in the  
582 <wsse:Security> header. In this case the <xenc:ReferenceList> element in the <xenc:EncryptedKey>  
583 element MUST contain an <xenc:DataReference> with a URI attribute specifying the  
584 <xenc:EncryptedData> element in the <wsse:Security> header corresponding to the attachment.

585 When the same <xenc:EncryptedKey> corresponds to multiple <xenc:EncryptedData> elements, the  
586 <xenc:ReferenceList> in the <xenc:EncryptedKey> element SHOULD contain an <xenc:DataReference>

587 for each <xenc:EncryptedData> element, both for attachments and encrypted items in the primary SOAP  
588 envelope. References should be ordered to correspond to ordering of the security header elements.

589 When an <xenc:EncryptedKey> element is not used when encrypting an attachment, then the  
590 <xenc:EncryptedData> element MAY contain a <ds:KeyInfo> element to specify a key as outlined in the  
591 SOAP Message Security standard. Different deployments may have different requirements on how keys  
592 are referenced. When an <xenc:EncryptedKey> element is used the <xenc:EncryptedData> element  
593 MUST NOT contain a <ds:KeyInfo> element.

594 When an attachment is encrypted, an <xenc:EncryptedData> element will be placed in the  
595 <wsse:Security> header. An <xenc:ReferenceList> element associated with this <xenc:EncryptedData>  
596 element may also be added, as recommended by WSS: SOAP Message Security.

597 Note: The same CID is used to refer to the attachment before encryption and after. This  
598 avoids the need to rewrite references to the attachment, avoiding issues related to  
599 generating unique CIDs and relating to preserving the correspondence to the original  
600 WSDL definition.

## 601 **5.5.1 MIME Part CipherReference**

602 This profile requires that <xenc:EncryptedData> elements corresponding to encrypted SwA attachments  
603 use a <xenc:CipherReference> to refer to the cipher text, to be conveyed in the attachment. Upon  
604 encryption the MIME part attachment content is replaced with the encoded cipher text.

605 The <xenc:CipherReference> MUST have a <xenc:Transforms> child element. This element MUST have  
606 a <ds:Transform> child having an Algorithm attribute with a URI value specifying the Attachment-  
607 Ciphertext-Transform. This transform explicitly indicates that when dereferencing the MIME part  
608 reference that only the MIME part content is to be used as the cipher value.

609 The <xenc:CipherReference> MUST NOT contain a transform used for a transfer encoding purpose (e.g.  
610 the base64 transform). Transfer encoding is left to the MIME layer, as noted in section 2.

## 611 **5.5.2 Encryption Processing Rules**

612 The order of the following steps is not normative, although the result should be the same as if this order  
613 were followed.

614 1. When encrypting both attachments and primary SOAP envelope content using the same key, perform  
615 the attachment processing first.

616 Note: The SOAP Message Security standard states that elements should be prepended  
617 to the security header. This processing rule supports putting the <xenc:EncryptedData>  
618 element first in the header with <xenc:EncryptedKey> and tokens following. Thus, a  
619 receiver should be able to process the <xenc:EncryptedKey> before the  
620 <xenc:EncryptedData> element for the attachment.

621 2. Encrypt the attachment part using XML Encryption, according to the rules of XML Encryption. Encrypt  
622 either the attachment including content and selected MIME headers or only the attachment content.

623 When encryption includes MIME headers, only the headers listed in this specification for the Attachment-  
624 Complete Reference Transform (Section 4.3.2) are to be included in the encryption. If a header listed in  
625 the profile is present it MUST be included in the encryption. If a header is not listed in this profile, then it  
626 MUST NOT be included in the encryption.

627 3. Set the <xenc:EncryptedData> Type attribute value to a URI that specifies adherence to this profile and  
628 that specifies what was encrypted (MIME content or entire MIME part including headers). The following  
629 URIs MUST be used for this purpose:

630 • Content Only:

```
631 http://docs.oasis-open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-  
632 1.1#Attachment-Content-Only
```

633 • Content and headers:

```
634 http://docs.oasis-open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-  
635 1.1#Attachment-Complete
```

- 636 4. Set the <xenc:EncryptedData> MimeType attribute to match the attachment MIME part Content-Type  
637 header before encryption when the Content-Only URI is specified for the Type attribute value. The  
638 MimeType attribute value MAY be set when the AttachmentComplete Type attribute value is specified.
- 639 5. Optionally set the <xenc:EncryptedData> Encoding attribute to reflect the attachment content  
640 encoding, as visible to the security layer at the time of encryption. This is advisory information to the  
641 decryption security layer. It should be understood that this has no relation with the actual encoding that  
642 could be performed independently by the MIME layer later for transfer purposes.
- 643 6. Set the <xenc:EncryptedData> <xenc:CipherReference> to the same reference URL for the  
644 attachment that was used before encryption . This MUST be a CID scheme URL referring to the  
645 attachment part Content-ID. Ensure this MIME header is in the part conveying the cipher data after  
646 encryption.
- 647 7. Include the Attachment-Ciphertext-Transform in the <xenc:CipherReference> <xenc:Transforms> list.
- 648 8. Prepend the <xenc:EncryptedData> element to the <wsse:Security> SOAP header block and then  
649 prepend the associated optional <xenc:ReferenceList> element.
- 650 9. Update the attachment MIME part, replacing the original content with the cipher text generated by the  
651 XML Encryption step.
- 652 10. Update the attachment MIME part header MIME Content-Type and Content-Length appropriate to the  
653 cipher data.

### 654 **5.5.3 Decryption Processing Rules**

655 The <xenc:CipherReference> URL MUST be a URL that refers to the MIME part containing the cipher  
656 text, and must also correspond to the reference value of the original attachment that was encrypted. This  
657 MUST be a CID scheme URL.

658 Decryption may be initiated upon locating the <xenc:EncryptedData> element in the <wsse:Security>  
659 header.

660 The following decryption steps must be performed so that the result is as if they were performed in this  
661 order:

- 662 1. Extract the cipher text from the attachment referenced by the <xenc:CipherReference> URL attribute.  
663 The Attachment-Ciphertext-Transform defined in this profile indicates that the MIME part content is  
664 extracted.
- 665 2. Decrypt the cipher text using the information present in the appropriate <xenc:EncryptedData> element  
666 and possibly other out of band information, according to the XML Encryption Standard.
- 667 3. If the <xenc:EncryptedData>Type attribute indicates that selected MIME headers were encrypted, then  
668 those MIME headers MUST be replaced by the result of decryption, as well as the MIME part content.
- 669 4. If the <xenc:EncryptedData>Type attribute indicates that only the content of the MIME part was  
670 encrypted, then the cipher text content of the attachment part MUST be replaced by the result of

671 decryption. In this case the MIME part Content-Type header value MUST be replaced by the  
672 <xenc:EncryptedData> MimeType attribute value.

673 5. If the <xenc:EncryptedData> Encoding attribute is present then the decryption security layer may pass  
674 this advisory information to the application.

## 675 5.5.4 Example

676 This example shows encryption of the primary SOAP envelope body as well as an attachment using a  
677 single symmetric key conveyed using an EncryptedKey element.

```
678 Content-Type: multipart/related; boundary="BoundaryStr" type="text/xml"
679 --BoundaryStr
680 Content-Type: text/xml

681 <S11:Envelope
682   xmlns:S11="http://schemas.xmlsoap.org/soap/envelope/"
683   xmlns:wsse="http://docs.oasis-open.org/wss/2004/01/oasis-200401-
684 wsswssecurity-secext-1.0.xsd"
685   xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
686   xmlns:ds="http://www.w3.org/2000/09/xmldsig#">

687   <S11:Header>
688     <wsse:Security>

689       <wsse:BinarySecurityToken wsu:Id="Acert"
690         EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-
691 wss-soap-message-security-1.0#Base64Binary"
692         ValueType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
693 x509-token-profile-1.0#x509v3">
694         ...
695       </wsse:BinarySecurityToken>

696       <xenc:EncryptedKey Id='EK'>
697         <EncryptionMethod
698           Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
699         <ds:KeyInfo Id="keyinfo">
700           <wsse:SecurityTokenReference>
701             <ds:X509Data>
702               <ds:X509IssuerSerial>
703                 <ds:X509IssuerName>
704                   DC=ACMECorp, DC=com
705                 </ds:X509IssuerName>
706                 <ds:X509SerialNumber>12345678</X509SerialNumber>
707               </ds:X509IssuerSerial>
708             </ds:X509Data>
709           </wsse:SecurityTokenReference>
710         </ds:KeyInfo>
711         <CipherData><CipherValue>xyzabc</CipherValue></CipherData>
712         <ReferenceList>
713           <DataReference URI='#EA' />
714           <DataReference URI='#ED' />
715         </ReferenceList>
716       </EncryptedKey>

717     <xenc:EncryptedData
718       Id='EA'
719       Type="http://docs.oasis-open.org/wss/2005/XX/oasis-2005XX-wss-swa-
720 profile-1.1#Attachment-Content-Only"
721       MimeType="image/png">
722       <xenc:EncryptionMethod
723         Algorithm='http://www.w3.org/2001/04/xmlenc#aes128-cbc' />
724       <xenc:CipherData>
725       <xenc:CipherReference URI=cid:bar">
```

```

726         <xenc:Transforms>
727             <ds:Transform Algorithm="http://docs.oasis-
728 open.org/wss/2005/XX/oasis-2005XX-wss-swa-profile-1.1#Attachment-Ciphertext-
729 Transform"/>
730         </xenc:Transforms>
731     </xenc:CipherReference>
732 </xenc:CipherData>
733 </xenc:EncryptedData>

734 </wsse:Security>
735 </S11:Header>
736 <S11:Body>
737 <xenc:EncryptedData Id='ED'
738 <xenc:EncryptionMethod
739 Algorithm='http://www.w3.org/2001/04/xmlenc#aes128-cbc' />
740 <xenc:CipherData>
741 <xenc:CipherValue>DEADBEEF</xenc:CipherValue>
742 </xenc:CipherData>
743 </xenc:EncryptedData>
744 </S11:Body>
745 </S11:Envelope>
746 --BoundaryStr
747 Content-Type: application/octet-stream
748 Content-ID: <bar>
749 Content-Transfer-Encoding: binary

750 BinaryCipherData

```

## 751 5.6 Signing and Encryption

752 When portions of content are both signed and encrypted, there is possible confusion as to whether  
753 encrypted content need first be decrypted before signature verification. This confusion can occur when  
754 the order of operations is not clear [[DecryptT](#)]. This problem may be avoided with SOAP Message Security  
755 for SwA attachments when attachments and corresponding signatures and encryptions are targeted for a  
756 single SOAP recipient (actor). The SOAP Message Security standard explicitly states that there may not  
757 be two <wsse:Security> headers targeted at the same actor, nor may there be two headers without a  
758 designated actor. In this case the SOAP Message Security and SwA profile processing rules may  
759 eliminate ambiguity since each signing or encryption produces an element in the <wsse:Security> header,  
760 and these elements are ordered. (Signing produces <ds:Signature> elements and encryption produces  
761 <xenc:EncryptedData> elements).

762 If an application produces different <wsse:Security> headers targeted at different recipients, these are  
763 processed independently by the recipients. Thus there is no need to correlate activities between distinct  
764 headers – the order is inherent in the SOAP node model represented by the distinct actors.

## A. Acknowledgments

766 The following individuals have participated in the creation of this specification and are gratefully  
767 acknowledged:

Gene	Thurston	AmberPoint	
Frank	Siebenlist	Argonne National Lab	
Merlin	Hughes	Baltimore Technologies	
Irving	Reid	Baltimore Technologies	
Peter	Dapkus	BEA	
Hal	Lockhart	BEA	
Steve	Anderson	BMC	(Secretary)
Srinivas	Davanum	Computer Associates	
Thomas	DeMartini	ContentGuard	
Guillermo	Lao	ContentGuard	
TJ	Pannu	ContentGuard	
Shawn	Sharp	Cyclone Commerce	
Ganesh	Vaideeswaran	Documentum	
Sam	Wei	Documentum	
John	Hughes	Entegrity	
Tim	Moses	Entrust	
Toshihiro	Nishimura	Fujitsu	
Tom	Rutt	Fujitsu	
Yutaka	Kudo	Hitachi	
Jason	Rouault	HP	
Paula	Austel	IBM	
Bob	Blakley	IBM	
Joel	Farrell	IBM	
Satoshi	Hada	IBM	
Maryann	Hondo	IBM	
Michael	McIntosh	IBM	

Hiroshi	Maruyama	IBM	
David	Melgar	IBM	
Anthony	Nadalin	IBM	
Nataraj	Nagaratnam	IBM	
Wayne	Vicknair	IBM	
Kelvin	Lawrence	IBM	(co-Chair)
Don	Flinn	Individual	
Bob	Morgan	Individual	
Bob	Atkinson	Microsoft	
Keith	Ballinger	Microsoft	
Allen	Brown	Microsoft	
Paul	Cotton	Microsoft	
Giovanni	Della-Libera	Microsoft	
Vijay	Gajjala	Microsoft	
Johannes	Klein	Microsoft	
Scott	Konersmann	Microsoft	
Chris	Kurt	Microsoft	
Brian	LaMacchia	Microsoft	
Paul	Leach	Microsoft	
John	Manferdelli	Microsoft	
John	Shewchuk	Microsoft	
Dan	Simon	Microsoft	
Hervey	Wilson	Microsoft	
Chris	Kaler	Microsoft	(co-Chair)
Prateek	Mishra	Netegrity	
Frederick	Hirsch	Nokia	
Senthil	Sengodan	Nokia	
Lloyd	Burch	Novell	
Ed	Reed	Novell	
Charles	Knouse	Oblix	

Vipin	Samar	Oracle	
Jerry	Schwarz	Oracle	
Eric	Gravengaard	Reactivity	
Stuart	King	Reed Elsevier	
Andrew	Nash	RSA Security	
Rob	Philpott	RSA Security	
Peter	Rostin	RSA Security	
Martijn	de Boer	SAP	
Blake	Dournaee	Sarvega	
Pete	Wenzel	SeeBeyond	
Jonathan	Tourzan	Sony	
Yassir	Elley	Sun Microsystems	
Jeff	Hodges	Sun Microsystems	
Ronald	Monzillo	Sun Microsystems	
Jan	Alexander	Systinet	
Michael	Nguyen	The IDA of Singapore	
Don	Adams	TIBCO	
Symon	Chang	TIBCO	
John	Weiland	US Navy	
Phillip	Hallam-Baker	VeriSign	
Mark	Hays	Verisign	
Hemma	Prafullchandra	VeriSign	

## B.Revision History

Rev	Date	By Whom	What
1	05/25/04	Frederick Hirsch	Initial version, put draft proposal into profile format.
2	05/26/04	Frederick Hirsch	Editorial and namespace suggestions from Michael McIntosh. Added rationale for SwA support to introduction. Completely rewrote processing rules for encryption and decryption.
3	05/28/04	Frederick Hirsch	Rewrote signature section, fixed cid references and Content-IDs, added examples.
4	06/12/04	Frederick Hirsch	Added Decrypt Transform section, added All-Attachments-Complete transform, changed MIME reference to v3, minor editorial changes.
5	07/07/04	Frederick Hirsch	Removed Decrypt transform material, since it is generally not needed and the approach had issues. Reorganized signatures section. Eliminated incorrect All-Attachments-Complete transform and replaced with discussion of attachment insertion threat. Clarified that only one wsse:Security header per actor/role minimizes signing, encryption confusion possibility. Added section for MIME Part CipherReference Transform. Editorial fixes.
6	07/14/04	Frederick Hirsch	<p>** Allow use of Content-Location, consistent with SwA.</p> <p>** Proposed update to signature Content-Transfer-Encoding processing rules. Needs review.</p> <p>Revised section on MIME canonicalization, added section on XML attachments. Only support SOAP 1.1. Clarified introduction. Added MTOM and additional MIME references. (Issue 297 should be closed – removed section on decryption transform and updated section on signing and encryption in version 5) Issue 303 – fixed, (see 3.2.4 example), Issue 306 – revised section on MIME canonicalization to close this issue. Issue 307 – revised to refer to SOAP 1.1 only, added section on XML attachments, defined MTOM and added reference. Editorial fixes.</p>
7	07/30/04	Frederick Hirsch	Incorporate feedback from WS-I BSP. Limit MIME headers included in signature or encryption to those listed in profile. Clarify MIME layering approach. Remove processing rules associated with Content-Transfer-Encoding. Editorial correction throughout document to allow both CID and Content-Location references to attachments. Editorial revision to pull attachment referencing and reference transforms into section applicable to both signatures and encryption. Incorporated feedback from Pete Wenzel and Toshihiro Nishimura – separate URL for transform and encryption type, used Content-Only reference transform for Cipherdata as well.

Rev	Date	By Whom	What
8	08/23/04	Frederick Hirsch	<p>Address issue 312 by clarifying use of Reference within EncryptedData element to EncryptedData for attachment when EncryptedKey is used. Processing rule related to encryption of both attachment and primary SOAP envelope items. (<a href="http://www.oasis-open.org/archives/wss/200408/msg00046.html">http://www.oasis-open.org/archives/wss/200408/msg00046.html</a> )</p> <p>Changed encryption example to show encryption of both primary SOAP envelop body and attachment. Include EncryptionMethod, addressing issue 309.</p> <p>Fix Transforms namespace to be xenc for within xenc:CipherReference (<a href="http://www.oasis-open.org/archives/wss/200408/msg00048.html">http://www.oasis-open.org/archives/wss/200408/msg00048.html</a>)</p>
9	09/02/04	Frederick Hirsch	<p>Clarify that XML attachments are opaque and remove text about XML canonicalization of attachment content.</p> <p>Fix typo at line 356, should state that no KeyInfo should be in EncryptedData element when EncryptedKey is used.</p> <p>Clarify that cipher data is base64 encoded octet stream and require CipherReference base64 transform.</p> <p>Revise MIME headers to be included in Attachment-Complete Reference, for signature protection. Allow continuations for these MIME headers.</p>
10	10/02/04	Frederick Hirsch	<p>Proposed resolutions for WSS issue-list items:</p> <p>Issue 326 part 1 – corrected case of Content-ID throughout document.</p> <p>Issue 326 part 2 - : Clarify MIME header name case, Resolution to use case per MIME specifications. See 4.3.1 item 4.</p> <p>Issue 326 part 3- Clarify transform handling of MIME parameter quoting. Retain quoting, if any, as is. Resolution in 4.3.1 item 7.</p> <p>Issue 326 part 4 - Address RFC 2047 encoding. Require transform to perform RFC2047 decoding as needed. Resolution in 4.3.1, items 4-7.</p> <p>Issue 329 part 1 – Strip or compress white space. No change made apart apart from preserve all whitespace in quoted strings, 4.3.1. item 10.</p> <p>Issue 329 part 2 – Order header processing alphabetically. Resolution in 4.3.1 item 3 and 4.2.2.</p> <p>Issue 329 part 3 – Show all ds:Signature elements in example in 4.3.6.</p>
11	10/02/04	Frederick Hirsch	<p>Issue 326, 329 – revision of section 4.3.1 based on feedback from Dana Kaufman and Forum Systems.</p>

Rev	Date	By Whom	What
12	10/21/04	Frederick Hirsch	<p>Allow cipher data to be binary data, and not use base64 transform in this case. Clarify that for base64 encoded cipher data transform or other means should be used to convey this information. Updated 4.4.1 through 4.4.4.</p> <p>Quoted "text/xml" in examples in 4.3.6, 4.4.4 to resolve issue 325.</p>
13	10/29/04	Frederick Hirsch	<p>Replace "7-bit" with "binary" in example 4.4.4</p> <p>Add clarification to sections 4.2.1 and 4.2.2 that MIME canonicalization is to be associated with the transforms, as defined in 4.3.1 and 4.3.2.</p>
14	11/15/04	Frederick Hirsch	<ol style="list-style-type: none"> <li>1. Only allow CID references for WS-Security references, for simplicity and interoperability.</li> <li>2. Constrain statement on RFC2047 encoding in section 4.4.1, #6.</li> <li>3. Clarify use of &lt;xenc:EncryptedData&gt; MimeType attribute in 4.5.2, #4. (Issue 345, #1)</li> <li>4. Add statement from interop document regarding MIME boundary for primary SOAP envelope</li> <li>5. Editorial changes to make MAY/MUST/SHOULDs capitalized where possible, other editorial fixes.</li> </ol>
15	12/06/04	Frederick Hirsch	<p>Explicitly allow optional use of Encryption Encoding attribute. (Section 4.5.2 #5; Issue 341)</p> <p>Remove base64 transform material, clarify relationship to MIME layer transform encoding. (Sections 4.4.4, 4.4.5, 4.5.1, 4.5.2, 4.5.3; Issue 344)</p> <p>Add clarification that Content-ID header value &lt;&gt; included in Attachment-Complete transform for signing. (Section 4.4.1, #7)</p> <p>Editorial cleanup. Add KeyInfo to example 4.4.6.</p>
cd-01	01/07/05	Frederick Hirsch	Change to Committee Draft – 01

Rev	Date	By Whom	What
16	03/07/05	Frederick Hirsch	<p>(Line numbers for diff version)</p> <p>Add Exclusive Canonicalization (691-2) and XML Signature references.(731-2)</p> <p>Issue 349 resolution: Revised language to SHOULD NOT for Reference List lines 506, 568-9. Typo resolution line 199, 303.</p> <p>Issue 356 resolution: typos 199, 540, Change MTOM reference to W3C Recommendation. (693-5)</p> <p>Address public review comments in message <a href="http://www.oasis-open.org/apps/org/workgroup/wss/email/archives/200502/msg00054.html">http://www.oasis-open.org/apps/org/workgroup/wss/email/archives/200502/msg00054.html</a> )</p> <p>1 add goals 84-103</p> <p>2 109-114</p> <p>3 section 2, 157-191</p> <p>4 sec 4.3, 270</p> <p>5 sec 4.4.4, 415-6</p> <p>6 sec 1 90-91, sec 3 198-212, 4.4.2 - 378-386, 4.4.4 405, 4.4.5, 429-430</p> <p>7 sec 1, 109-120</p> <p>9 out of scope sec 1, 98-99</p> <p>10 out of scope, sec 1 100-103</p> <p>11 sec 4.5.2, 540-543</p>
17	03/16/05	Frederick Hirsch	Do not require exclusive canonicalization of attachments, back to what cd-01 said, with minor editorial changes. This means there are no substantive changes since completion of public review of cd-01.
18	04/21/05	Frederick Hirsch	Added text to 4.3.1 and 4.3.2 to resolve issue 376 – defining input and output octet streams of Reference Transforms.
19	04/16/05	Frederick Hirsch	Formatting update to changes in draft 18 (editorial). Changes to address issue 377 (use of ReferenceList) – last paragraph in 4.5 (before 4.5.1) and #8 in 4.5.2.

Rev	Date	By Whom	What
20	05/25/05	Frederick Hirsch	<p>Incorporate proposed resolution for issue 364, regarding XML canonicalization of attachments as part of creating a ds:Reference hash. Proposal is to require XML Exclusive canonicalization of attachment content of an XML content type when using a signature reference transform. Incorporated canonicalization into signature reference transform processing rules, rather than specifying an additional ds:Reference transform. Defined separate encryption Attachment-Ciphertext-Transform. See sections 3, 4.3.1, 4.3.2, 4.3.3, 4.4.2, 4.4.4, 4.4.5, 4.5.1, and 4.5.2.</p> <p>Incorporate a proposed resolution for issue 370, additional discussion of interaction between S/MIME and this profile. See section 2. Moved some material from introduction to section 2 and revised.</p> <p>Added statement that cid: references are assumed to not be same-document references, to section 4.2.</p> <p>Update to version 1.1 for consistency with other specifications, added statement that this is the first version to Status section.</p> <p>Updated all URLs defined in document to 2005 and version 1.1, but changes still required (remove xx). Removed associated warning notes about possible changes.</p> <p>Changed tag associated with RFC2396 from "[URL]" to "[RFC2396]".</p> <p>Additional editorial format changes. Added RFC2184 reference.</p>
21	06/06/05	Frederick Hirsch	<p>Correction – ciphertext need not be text canonicalized.</p> <p>Clarify that exclusive canonicalization should be without comments and that the InclusiveNamespacePrefixList should be empty.</p> <p>Updated to correspond to latest OASIS document template, including revised Notice. Moved some references to non-normative. Indicated that only section 4 is normative.</p>
cd-02	06/14/05	Frederick Hirsch	<p>Update acknowledgement section.</p> <p>Update cover page (remove unused items)..</p> <p>Change to committee draft.</p>
22	06/19/05	Frederick Hirsch	<p>Corrected typo: "element element" to "element"</p> <p>Replaced "The InclusiveNamespacePrefixList SHOULD be empty." with "The InclusiveNamespace PrefixList attribute SHOULD be empty or not present."</p>